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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet		of
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**Complete if Known**

Application Number	10/720,372
Filing Date	November 24, 2003
First Named Inventor	Ghicon
Art Unit	-
Examiner Name	-
Attorney Docket Number	SI0-0107

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature \_\_\_\_\_

Date  
Considered

1/3/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		<b>Complete If Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/720,372	
		Filing Date	November 24, 2003	
		First Named Inventor	Ghiron	
		Art Unit	-	
		Examiner Name	-	
Sheet		of	Attorney Docket Number	SIO-0107

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JTR		L.A. COLDREN (PI), Y.A. AKULOVA, E.M. STRZELECKA, B.J. THIBEAULT, J.C. KO and D.A. LOUDERBACK, "VCSEL ARRAY PACKAGING FOR FREE SPACE INTERCONNECTS", University of CA, Santa Barbara, CA; Report 1996-97 for MICRO project 96-050	
JTR		P.K. TIEN, R. ULRICH; "Theory of Prism-Film Coupler and Thin-Film Light Guides", Journal of the Optical Society of America, Vol. 60, number 10, October 1970	
JTR		R. ULRICH; "Theory of the Prism-Film Coupler by Plane-Wave Analysis", Journal of the Optical Society of America, Vol. 60, number 10, October 1970	
JTR		HIROSHI NISHIHARA; MASA MITSU HARUNA, TOSHIKI SUHARA, "Optical Integrated Circuits", 1985; Ohmsha, Ltd.	
		R. ULRICH, "Optimum Excitation of Optical Surface Waves", Journal of the Optical Society of America, Vol. 61, No. 11, pp. 1467-1477, Nov. 1971.	

Examiner Signature	<i>Janey T. R. K. H.</i>	Date Considered	1/3/05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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